



Illinois Department of Revenue

Federal/State Employment Taxes Implementation Guide

March 2016

Table of Contents

Part I — General Information

| | |
|--|----|
| Section 1 — Overview | 1 |
| Section 2 — Contact Information | 2 |
| Section 3 — Enrollment for Electronic Filing | 3 |
| Section 4 — Electronic Signatures | 4 |
| Section 5 — Payment Information | 5 |
| Section 6 — Timeliness and Date Received of Return and Payment | 6 |
| Section 7 — Monitoring and Suspension | 7 |
| Section 8 — Transmission Information | |
| General Guidelines | 8 |
| Schema Version | 9 |
| Transmission Protocol | 9 |
| Gateway Practices | 10 |
| Transmission Example | 10 |
| Transmission Format | 11 |
| HttpPost Utility Program | 11 |
| Section 9 — Acknowledgment One “Transmission Receipt” | |
| General Information | 12 |
| Example — Ack One Response | 13 |

Part II — Illinois Department of Revenue — Withholding

| | |
|---|----|
| Section 1 — Acknowledgment Two “Transmission Acknowledgment” | 14 |
| IL-501 | 15 |
| IL-941 | 16 |
| Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors | |
| All Forms Filings and Stand-Alone Payments — Errors at Transmission Level | 17 |
| IL-941 Return With or Without Debit Authorization — Errors at Transaction Level | 18 |
| IL-941 Return With Debit Authorization — Errors at Transaction Level | 21 |
| IL-501 or IL-941 Stand-Alone Debit Authorization — Errors at Transaction Level | 23 |

Part III — Illinois Department of Employment Security — Unemployment Insurance

| | |
|--|----|
| Section 1 — Schema Examples | 26 |
| Form UI-3/40 without Debit Authorization | 26 |
| Form UI-3/40 with Debit Authorization | 27 |
| Stand-alone Unemployment Insurance Debit Authorization | 29 |
| Section 2 — Acknowledgment Two “Transmission Acknowledgment” | |
| General Information | 30 |
| Example | 30 |
| Acknowledgment Two Errors | 32 |

Part I — General Information

Section 1 — Overview

The Internal Revenue Service (IRS) maintains an electronic filing and payment program for employment taxes under the name of FSET (Fed/State Employment Taxes). This program enables the filing of both federal and state employment tax returns using Extensible Markup Language (XML) “schemas” (record layouts) that have been developed for this purpose. Background on FSET and information regarding schemas can be found at www.statemef.com/fset_new.shtml.

The Illinois implementation of the FSET program accepts and acknowledges the electronic filing and payment of employer withholding Form IL-941, Illinois Withholding Income Tax Return, Form IL-501, Payment Coupon, and Form UI-3/40, Employer’s Contribution and Wage Report. Although this is a federal and state program, the state returns are transmitted directly to each participating state, rather than to the IRS. Illinois transmissions are sent to the Illinois Department of Revenue (IDOR) using the internet to access the “Illinois Gateway.”

The program is open to software developers, transmitters, and payroll service providers who act as reporting agents in accordance with IRS Revenue Procedure 2012-32, 2012-34 I.R.B 267.

The IRS defines “reporting agents” (RAs) as companies (not individuals) that perform payroll services for other businesses. The FSET program is also open to large employers who want to develop their own software. Individual business taxpayers who want to participate must select and use the services of a participating RA.

All participants (except taxpayers using an RA) must preregister with Illinois using [Form IL-8633-B](#), Business Electronic Filing Enrollment. Software developers and transmitters use their IRS EFIN/ETINs and are required to perform acceptance testing. Upon registration, they are assigned a logon ID number and passwords for Illinois communications. RAs select a single “signature code” (electronic signature) that they will use to sign all returns and payment authorizations they submit on behalf of their clients. RAs must obtain [Form IL-8655](#), Reporting Agent Electronic Services Authorization, from a taxpayer prior to acting on behalf of that taxpayer for Illinois payments and filings.

Part I — General Information

Section 2 — Contact Information

General Questions — Withholding Income Tax:

TAXPAYER ASSISTANCE DIVISION
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19044
SPRINGFIELD IL 62794-9044
1 800 732-8866 or 217 782-3336
1 800 544-5304 — TDD (telecommunications device for the deaf)
tax.illinois.gov

General Questions — for Illinois FSET or enrollment using Form IL-8633-B:

ELECTRONIC COMMERCE DIVISION
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19479
SPRINGFIELD IL 62794-9479
217 524-4767 or 1 866 440-8680 (8:00 a.m. – 4:00 p.m.)

Technical Questions or system failures — “Illinois Gateway”:

ELECTRONIC COMMERCE SUPPORT
217 782-3791 (7:00 a.m. – 4:15 p.m.)
After hours, weekends, or holidays — 217 782-8622

Technical Questions — regarding FSET schemas or acknowledgments for withholding:

ELECTRONIC COMMERCE DIVISION
ILLINOIS DEPARTMENT OF REVENUE
PO BOX 19479
SPRINGFIELD IL 62794-9479
217 524-4767 or 1 866 440-8680 (8:00 a.m. – 4:00 p.m.)

General Questions — Unemployment Insurance (FSET program only):

IDES TAXNET/REPORT & REMITTANCE UNIT
1 800 247-4984 (8:30 a.m. – 5:00 p.m.)

If you have a question about a specific tax return or payment, it will expedite matters if you have a confirmation number or a ContentLocation to identify the item in question.

Part I — General Information

Section 3 — Enrollment for Electronic Filing

All participants must be enrolled to be accepted into the Illinois FSET electronic filing program. This includes Reporting Agents, large employers, software developers, and any participant who is transmitting directly to IDOR (either for themselves or for others).

To enroll, complete and sign [Form IL-8633-B](#), Business Electronic Filing Enrollment.

Mail to: ELECTRONIC COMMERCE DIVISION
 ILLINOIS DEPARTMENT OF REVENUE
 PO BOX 19479
 SPRINGFIELD IL 62794-9479

Or fax to: 217 782-7992

Participants who are software developers and/or transmitters that will transmit directly to the “Illinois Gateway” must enroll using their IRS-assigned EFIN and ETIN, or be assigned Illinois-only identification numbers upon enrollment. They will also have to successfully complete testing to be accepted in the program. A “test” password will be assigned for this purpose upon registration and a test package is available on our website.

Once testing is successfully completed, a “production” password is assigned to approved transmitters. The ID numbers and passwords are unique for each transmitter and cannot be transferred among participants. The passwords must be kept secure. To access our internet gateway, all transmitters must use their ID number and either the test or the production password.

Participants must submit a revised Form IL-8633-B to IDOR to update the information contained on their most current enrollment form when there are changes involving:

- the participant’s name, the firm name, or doing business as (DBA) name(s);
- any address, telephone, or contact representative;
- Federal Employer’s Identification Number (FEIN), Social Security number (SSN), or Illinois Account ID;
- IRS-assigned EFIN or ETIN;
- the electronic filing functions performed; or
- the electronic signature code or person authorized to sign.

Part I — General Information

Section 4 — Electronic Signatures

All electronic returns filed must include an electronic signature that authenticates the participant. Participants must identify their electronic signatures on the electronic filing enrollment form. An authorized officer or other individual responsible for filing returns or other documents for the participant must also sign the electronic filing enrollment form.

Note: Participants enrolling as Reporting Agents must sign all returns and payment authorizations on behalf of their clients, using a single electronic signature. They must obtain [Form IL-8655](#), Reporting Agent Electronic Services Authorization, from each client and retain the form subject to inspection by IDOR and the Illinois Department of Employment Security (IDES).

The participant's electronic signature is to be used in lieu of a written signature when filing electronic returns or payment authorizations. The effect of including a valid electronic signature as part of a transmission has the same legal effect as the participant having signed the returns or authorizations.

An electronic return or payment authorization will be considered unsigned unless the participant's electronic signature is included and received as part of the file. An electronic signature is considered valid from the time it is registered by IDOR and until:

- IDOR receives a written request from the participant to have that their electronic signature invalidated. To continue electronic filing, the participant must submit a revised [Form IL-8633-B](#), Business Electronic Filing Enrollment, and identify a new electronic signature.
- the participant submits a revised Form IL-8633-B and has identified a new electronic signature on that form.
- the participant's signature authorization has been revoked or suspended.

Signature Fields in the Schema

- **OnlineFiler**
Located under the ReturnHeaderState, the OriginatorTypeCd tag should contain OnlineFiler. In the Signature tag under StateGeneralInformation, ReturnSigner, we expect to find the PIN of the online filer.
- **ReportingAgent and ERO Model**
Located under the TransmissionHeader, the AgentIdentifier tag should contain the EFIN and the ReportingAgentSignature tag should contain the reporting agent signature code. For Illinois, this is the 6-byte signature code the reporting agent selected when completing Form IL-8663-B.

Since we validate the Reporting Agent and ERO Model Signature at the Transmission Level, this limits the transmission to one reporting agent or ERO signature per transmission.

Part I — General Information

Section 5 — Payment Information

The Illinois FSET program provides for electronic payment of employer taxes by Electronic Funds Transfer (EFT) using the ACH debit option. Debit authorization information may be transmitted within the electronic return data or as a stand-alone payment transaction for both withholding and unemployment insurance payments.

Debit information includes payment amount, payment date, and all banking information necessary to process the payment, including routing and account numbers. Use of the debit option does not require pre-registration for EFT by business taxpayers who are using an RA to submit filings and payments on their behalf.

The ACH credit option is available for withholding payments only. Large employers or reporting agents that have clients who want to use this option should contact the IDOR's Electronic Payments Section for further details. Use of the credit option requires pre-registration in our EFT Program at the business taxpayer level.

Part I — General Information

Section 6 — Timeliness and Date Received of Return and Payment

Return (IDOR and IDES)

To be considered timely filed, a return must be acknowledged as accepted, or accepted with errors, no later than 11:59 p.m. (Central Standard Time) on the due date. This also applies to the retransmission of returns that were previously acknowledged as rejected. The IDOR Illinois Gateway records the date and time a transmission is complete. If a return is acknowledged as accepted or accepted with errors, this date and time is used as the date and time the return is received by Illinois. If a return is acknowledged as rejected, the return is considered not filed.

Participants should ensure that the return transmission is started early enough to be completed **prior to** the end of the day (11:59 p.m. Central Standard Time) on the return due date. To avoid late filing, it is recommended that participants schedule transmissions to allow for timely correction and retransmission in the case of a rejected transaction.

Payment (IDOR only)

An electronic payment initiated on the due date for the next available settlement date will be considered timely paid. We recommend payments be initiated one or two days before the due date for settlement on the due date.

Note: These constraints for timely payment apply to IDOR debit authorizations, whether they are received embedded within a return filing or as a stand-alone payment transaction.

Participants should ensure that the debit authorization data contains a payment date no later than the due date. To avoid late payment, IDOR recommends that participants schedule debit authorization transmissions to allow for timely correction and retransmission in the case of a rejected debit transaction.

Payment (IDES only)

To be considered timely paid, debit payments must be acknowledged as accepted, or accepted with errors, no later than 11:59 p.m. (Central Standard Time) on the due date. This also applies to the retransmission of payments that were previously acknowledged as rejected. The IDOR Illinois Gateway records the date and time a transmission is complete. If a payment is acknowledged as accepted or accepted with errors, this date and time is used as the date and time the payment is received by IDES. If a payment is acknowledged as rejected, the payment is considered not submitted.

Participants should ensure that the payment transmission is started early enough to be completed **prior to** the end of the day (11:59 p.m. Central Standard Time) on the quarterly due date. To avoid late payment, IDES recommends that participants schedule transmissions to allow for timely correction and retransmission in the case of a rejected transaction.

Part I — General Information

Section 7 — Monitoring and Suspension

IDOR will monitor the quality of electronic transmissions. If the quality is unacceptable, IDOR will contact the electronic filer, software developer, or transmitter. IDOR will also monitor complaints about electronic filers and issue warning or suspension letters as appropriate. IDOR reserves the right to suspend the electronic filing privilege of any electronic filer, software developer, or transmitter who varies from the requirements, specifications, and procedures stated in this guide or any corresponding administrative rules, or who does not consistently transmit error-free returns. When suspended, the electronic filer, software developer, or transmitter will be advised of the requirements for reinstatement into the program.

Part I — General Information

Section 8 — Transmission Information

General Guidelines

Files may contain only one type of document. For example, a file may contain only Forms IL-941, only Forms IL-501, or only stand-alone debit payment authorizations. However, a file of only debit authorizations may contain payments that apply to more than one form type. For example, a debit file may contain authorizations for payments to be applied to any combination of Forms IL-941 and IL-501. Stand-alone debit authorizations cannot be intermingled in a file with returns.

A debit authorization may also be embedded within a form filing. A file containing Forms IL-941 may have documents with and without embedded debit authorizations intermingled. However, there cannot be a stand-alone debit authorization following a return document.

Once a file is successfully transmitted, each form filing and payment authorization will be acknowledged independently. If a form filing is acknowledged as rejected, the acknowledgment will reflect the errors causing the rejection. The filing should be corrected and retransmitted. If a rejected form filing includes an embedded debit authorization, the payment is also rejected and should be included in the retransmitted form filing.

A form filing with an embedded debit authorization may be acknowledged as accepted or accepted with errors, but the debit authorization will be independently evaluated and may be rejected although the form filing itself was not. In this case, the acknowledgment will reflect the acceptance of the return and the rejection of the payment, along with the errors that caused the payment rejection. The debit authorization should be corrected and retransmitted as a stand-alone debit authorization in a file of only debit authorizations.

Stand-alone debit authorizations may be accepted, accepted with error, or rejected. If a stand-alone debit authorization is acknowledged as rejected, the acknowledgment will reflect the errors causing the rejection. The debit authorization should be corrected and retransmitted.

Original transmissions and retransmissions of rejected transactions must be received based on the criteria specified in “Section 6 — Timeliness and Date Received of Return and Payment” above.

Part I — General Information

Section 8 — Transmission Information (cont.)

Schema Version

Since January 1, 2012, FSET transmissions to the Department have required schema version FSETV4.4 for transmissions of IL-501 and IL-941 returns but continue to require schema version FSETV1.05 for transmissions of Form UI-3/40 returns.

Transmissions of FSETV4.4 formatted returns follow a different protocol from the transmissions of FSETV1.05 returns. In particular, transmitters should take special note of the fact that the FSETV4.4 gateway determines whether a transmission is processed as a test or production file solely through the use of the transmitter's password. Transmitters should have both test and production passwords available. The value of the "ProcessType" element within the XML header is always overridden by the type of the transmitter password. This is in contrast to the gateway's processing of the earlier FSETV1.05 transmissions in which the "ProcessType" element took precedence.

Transmission Protocol

FSET returns must be transmitted to and from the IDOR Gateway server via the Internet using Secure Socket Layer (SSL) technology. File transmissions must use the https post method. This type of transmission provides secure data exchange by strongly encrypting the data stream in both directions according to the SSL protocol.

Transmissions should be posted to <https://biz.revenue.state.il.us/il/gateway>.

Before users can transmit files, they must register with the Illinois Department of Revenue to obtain a login ID and password. Transmitters should receive both test and production passwords.

The Gateway conforms to standard http protocols. For fuller documentation regarding the http specification in general, refer to the World Wide Consortium website at <http://www.w3c.org>.

In particular, for documentation regarding the http protocol, see RFC 2616: Hypertext Transfer Protocol – HTTP/1.1 at <https://www.ietf.org/rfc/rfc2616.txt>.

For documentation regarding http authentication protocols, see RFC 2617L: HTTP Authentication: Basic and Digest Access Authentication at <https://www.ietf.org/rfc/rfc2617.txt>.

The Gateway uses basic authentication, which is made secure by the SSL encryption. The login ID and password are applied to the http transmission headers in the form of a standard basic authentication header. The SSL protocol guarantees that the ID and password are also encrypted during transmission. As is standard practice, the basic authentication header must be base-64 encoded. The Gateway supports both challenge-response and pre-emptive authentication.

Part I — General Information

Section 8 — Transmission Information (cont.)

Gateway Practices

The FSETV4.4 Gateway adheres to the following practices:

- All transmissions or requests to the Gateway occur in a single request-response https session.
- No cookies are placed on the users' computers. No session tracking is required, and as a result, cookies are not needed.
- Only one XML file may be transmitted per session. To enforce this rule, the Gateway does not allow MIME, DIME, or MTOM attachments for FSETV4.4 transmissions. If MIME attachment headers occur in the transmission, the gateway will assume the transmission is an FSETV01.05 formatted transmission and backend processing will reject the return.

Transmission Example

The transmission stream format will look similar to the following example (with comment lines removed):

```
----- transmission http headers -----  
  
POST /il/gateway HTTP/1.0  
Host: biz.revenue.state.il.us  
Authorization: Basic aWRvcjpub25l  
Accept: text/plain, text/html, text/xml  
X-eFileRequestCode: 12345.6789  
Content-Length: 6605  
  
----- transmission data follows here -----  
  
<?xml version="1.0" encoding="UTF-8"?>  
<?ILDOR fset="4.4"?>  
----- more xml data goes here -----
```

Actual data contained within the example are for illustration. Complete examples of the xml data part of the transmission follow in a later section.

The “Authorization” header contains the transmitter’s user ID and password in the standard Base64 encoding of basic authentication.

It is important that transmitters supply a “Content-Length” header and give it a value equal to the total number of bytes in the transmission starting with the line containing

```
<?xml version="1.0" encoding="UTF-8"?>.
```

The gateway uses the “Content-Length” header value to verify receipt of the entire transmission and will return an error if the total bytes received do not match this value. It is the responsibility of the transmitter to make sure this value is correct.

Part I — General Information

Section 8 — Transmission Information (cont.)

Transmission Format

Each transmission to the Gateway must have one extended HTTP transmission header called “X-eFileRequestCode,” which the Gateway uses to decide if a transmission is a request to transmit a file or to pick up an acknowledgment. The X-eFileRequestCode header appears in the transmission stream at the http transmission header level. It is required.

In order to send a file to the Gateway, the value of the X-eFileRequestCode header should be set to some unique value other than the reserved keywords “ILSend,” “ILAck,” or “ILLastAck.” The value given to the X-eFileRequestCode header serves the purpose of identifying the transmission to the transmitter when the transmission receipt is returned to the transmitter. This value will be echoed back to the transmitter in the Acknowledgment One response as detailed below. It is strongly suggested that the transmitter set the value of the X-eFileRequestCode header to the same value as the TransmissionId element that occurs with the xml transmission header of the current transmission.

HttpsPost Utility Program

The Illinois Department of Revenue has an HttpsPost Utility Program available for use that transfers files to and from the Illinois Department of Revenue's Gateway server via the Internet using Secure Socket Layer (SSL) technology. The utility runs as a stand-alone application. The utility supports both a graphical user interface (GUI) mode of operation, as well as a command line mode suitable for batch processing. Installation consists in copying the executable file, HttpsPost.exe, to an empty directory or folder. For convenience in launching the application in its GUI mode, place shortcuts to the executable on the desktop or in the START menu. Simply launching the executable without command line arguments starts the application in its GUI mode.

You can download a free copy of this utility program at tax.illinois.gov/ElectronicServices/HttpsPost.exe and its complete instructions and documentation at tax.illinois.gov/ElectronicServices/HttpsPost.pdf.

Part I — General Information

Section 9 — Acknowledgment One “Transmission Receipt”

General Information

When the Illinois Gateway receives a file successfully, it returns a SOAP-like formatted Acknowledgment One in the HTTP session response stream. The acknowledgment serves as an official receipt for the file and provides a receipt time stamp. Several pieces of information are echoed back to the transmitter for file tracking purposes. The Acknowledgment One does not validate any of the information contained in the returns or payment authorizations themselves, and neither does it provide any assurances that they have been accepted. Acknowledgment One can, however, be used to certify the transmission time after tax returns and payments have been accepted by internal processing. The transmitter should never assume that a transmission has been successfully received by the Gateway until an Acknowledgment One has been returned. Receipt of Acknowledgment One is proof of a successful transmission.

Part I — General Information

Section 9 — Acknowledgment One “Transmission Receipt” (cont.)

Example — Ack One Response

```
<?xml version="1.0" encoding="utf-8"?>
<soapenv:Envelope xmlns:soapenv="http://schemas.xmlsoap.org/soap/envelope/" xmlns:soapenc="http://schemas.xmlsoap.org/soap/encoding/"
xmlns:xsd="http://www.w3.org/2001/XMLSchema" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <soapenv:Body>
    <ResponseIdentifier>Illinois Department of Revenue Acknowledgement One</ResponseIdentifier>
    <Etin>99999</Etin>
    <TransmissionId>12345.6789</TransmissionId>
    <TransmissionFormType>FSET Return</TransmissionFormType>
    <TransmissionTimeStamp>2011-12-13T14:34:22-06:00</TransmissionTimeStamp>
    <TransmissionFileSize>1966</TransmissionFileSize>
    <SystemFileName>B9999920111213143422765.347</SystemFileName>
  </soapenv:Body>
</soapenv:Envelope>
```

Note: Actual data values will vary from one transmission to the next.

Part II — Illinois Department of Revenue — Withholding

Section 1 — Acknowledgment Two “Transmission Acknowledgment”

Acknowledgments inform the transmitter if the return was either accepted or rejected and the reason for rejection, if any. In order to pick up an acknowledgment file, the X-eFileRequestCode header must contain either of the following two values.

- **ILAck** Request acknowledgments
- **ILLastAck** Request to re-transmit the immediately preceding acknowledgment transmission

These header values are not case sensitive.

Use of the ILAck value for the X-eFileRequestCode header will result in one acknowledgment being returned to the transmitter via the HTTPS post response stream. The Gateway will return acknowledgments corresponding to the transmitter’s ETIN in a first-in/first-out time order, without regard to transmitted file types. One acknowledgment will be returned for each request. Multiple connections are required to receive multiple acknowledgments. First-in/first-out time order implies that the oldest dated acknowledgment available on the server corresponding to the transmitter’s ETIN will be returned to the transmitter.

After an acknowledgment has been delivered, it will be removed from the list of new acknowledgments. It will then be moved to a rollover buffer area, where it will become available for re-transmission through the use of the ILLastAck header value. This is a backup mechanism that allows for repeated attempts to recover from a failed acknowledgment request. An acknowledgment remains available for re-transmission in this way until another request for a new acknowledgment is made using the ILAck header value. At that time, the previous acknowledgment is removed from the rollover buffer and archived. It is replaced by the newly requested acknowledgment, if one was available for pick up.

Part II — Illinois Department of Revenue — Withholding

Section 1 — Acknowledgment Two “Transmission Acknowledgment” (cont.)

IL-501

```
<?xml version="1.0" encoding="UTF-8"?>
<!--Sample XML file generated by XMLSpy v2011 rel. 3 sp1 (http://www.altova.com)-->
<AckTransmission xsi:schemaLocation="http://www.irs.gov/efile
file:///R:/DEV/EFS/XMLSchemas/FSET/FSETV4.4/FSET/AckTransmission.xsd" xmlns="http://www.irs.gov/efile"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <TransmissionHeader recordCount="1">
    <Jurisdiction>String</Jurisdiction>
    <TransmissionId>--</TransmissionId>
    <Timestamp>2001-12-17T09:30:47Z</Timestamp>
    <Transmitter>
      <ETIN>00000</ETIN>
    </Transmitter>
    <ProcessType>P</ProcessType>
  </TransmissionHeader>
  <Acknowledgement submissionVersion="String" validatingSchemaVersion="String">
    <SubmissionId>00000000000000000000</SubmissionId>
    <EFIN>000000</EFIN>
    <GovernmentCode>IAST</GovernmentCode>
    <SubmissionType>IL-501</SubmissionType>
    <TaxYear>20120</TaxYear>
    <SubmissionCategory>FSET</SubmissionCategory>
    <ElectronicPostmark>2001-12-17T09:30:47Z</ElectronicPostmark>
    <AcceptanceStatus>R</FilingStatus>
    <ContainedAlerts>true</ContainedAlerts>
    <StatusDate>1967-08-13</StatusDate>
    <EIN>000000000</EIN>
    <PaymentIndicator>Payment Request Received</PaymentIndicator>
    <ErrorList errorCount="1">
      <Error errorId="123456">
        <ErrorCategory>String</ErrorCategory>
        <ErrorMessage>String</ErrorMessage>
        <RuleNumber>String</RuleNumber>
        <Severity>String</Severity>
      </Error>
      <Error errorId="123456">
        <ErrorCategory>String</ErrorCategory>
        <ErrorMessage>String</ErrorMessage>
        <RuleNumber>String</RuleNumber>
        <Severity>String</Severity>
      </Error>
    </ErrorList>
    <AlertList alertCount="1">
      <Alert alertId="123456">
        <AlertCategory>String</AlertCategory>
        <AlertMessage>String</AlertMessage>
        <RuleNumber>String</RuleNumber>
        <Severity>String</Severity>
      </Alert>
    </AlertList>
  </Acknowledgement>
</AckTransmission>
```

Part II — Illinois Department of Revenue — Withholding

Section 1 — Acknowledgment Two “Transmission Acknowledgment” (cont.)

IL-941

```
?xml version="1.0" encoding="UTF-8"?>
<!--Sample XML file generated by XMLSpy v2011 rel. 3 sp1 (http://www.altova.com)-->
<AckTransmission xsi:schemaLocation="http://www.irs.gov/efile
file:///R:/DEV/EFS/XMLSchemas/FSET/FSETV4.4/FSET/AckTransmission.xsd" xmlns="http://www.irs.gov/efile"
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance">
  <TransmissionHeader recordCount="1">
    <Jurisdiction>String</Jurisdiction>
    <TransmissionId>--</TransmissionId>
    <Timestamp>2001-12-17T09:30:47Z</Timestamp>
    <Transmitter>
      <ETIN>00000</ETIN>
    </Transmitter>
    <ProcessType>P</ProcessType>
  </TransmissionHeader>
  <Acknowledgement submissionVersion="String" validatingSchemaVersion="String">
    <SubmissionId>00000000000000000000</SubmissionId>
    <EFIN>000000</EFIN>
    <GovernmentCode>IAST</GovernmentCode>
    <SubmissionType>IL-941</SubmissionType>
    <TaxYear>2012</TaxYear>
    <SubmissionCategory>FSET</SubmissionCategory>
    <ElectronicPostmark>2001-12-17T09:30:47Z</ElectronicPostmark>
    <FilingStatus>R</FilingStatus>
    <ContainedAlerts>true</ContainedAlerts>
    <StatusDate>1967-08-13</StatusDate>
    <EIN>000000000</EIN>
    <PaymentIndicator>Payment Request Received</PaymentIndicator>
    <ErrorList errorCount="1">
      <Error errorId="123456">
        <ErrorCategory>String</ErrorCategory>
        <ErrorMessage>String</ErrorMessage>
        <RuleNumber>String</RuleNumber>
        <Severity>String</Severity>
      </Error>
      <Error errorId="123456">
        <ErrorCategory>String</ErrorCategory>
        <ErrorMessage>String</ErrorMessage>
        <RuleNumber>String</RuleNumber>
        <Severity>String</Severity>
      </Error>
    </ErrorList>
  </Acknowledgement>
</AckTransmission>
```

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

All Forms Filings and Stand-Alone Payments

The appearance of any of the following Error Codes (800 – 803) in the Acknowledgment Two indicate rejection of the entire transmission.

Caution: Files must be retransmitted no later than 11:59 p.m. (Central Standard Time) on the due date and be acknowledged as accepted to avoid penalty.

The three-digit number (Error Code) shown next to the error description will appear as the reject reason in the acknowledgment generated by IDOR. Beneath each error description, an abbreviated version of the error description is provided. This abbreviated error message will be displayed with the error code in the acknowledgment.

800 – OriginHeaderCount in the TransmissionManifest does not match the total number of Originators in the transmission.

Acknowledgment Error Message: “Origin header count does not match number of origin headers”

801 – OriginId in the TransmissionManifest OriginHeaderReference does not match the OriginId in ReturnOriginHeader.

Acknowledgment Error Message: “Mismatched origin ID”

802 – OriginManifest ItemCount does not match the total number of Items in the ItemReference ContentLocation.

Acknowledgment Error Message: “Origin item count does not match number of items”

803 – ItemReference ContentLocation in OriginManifest does not match ContentLocation in the return or ContentLocation in the transmission is not found in an ItemReference ContentLocation in an OriginManifest.

Acknowledgment Error Message: “Mismatched content location codes”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors (cont)

IL-941 Return With or Without Debit Authorization

Failure of any of the edits listed below (013 – 715) will cause the return to be **rejected** without being processed by the Department. A rejected return is considered **not filed**, and any debit authorization included in a rejected return will **not** be processed for payment. Errors should be corrected and the return retransmitted as soon as possible.

Caution: The file must be retransmitted no later than 11:59 p.m. (Central Standard Time) on the due date, and be acknowledged as accepted, to avoid penalty.

The three-digit number (Error Code) shown next to the error description will appear as the reject reason in the acknowledgment generated by IDOR. Beneath each error description, an abbreviated version of the error description is provided. This abbreviated “error message” will be displayed with the error code in the acknowledgment, along with the Tag name of the field in error and the erroneous data (when possible).

013 – All Tags must contain the type of data as defined in the related schema.
Acknowledgment Error Message: “ST WH REJ Invalid data type for Tag”

025 – Invalid date.
Acknowledgment Error Message: “ST WH REJ Invalid date”

300 – StateEin and StateEinExtension must be numeric and valid.
Acknowledgment Error Message: “ST WH REJ State ID missing or invalid”

301 – The Department does not have a Withholding account number on file with this sequence number. Please check your records and file with the correct State EIN and State EIN Extension.
Acknowledgment Error Message: “ST WH REJ The State EIN or sequence number is invalid”

310 – Tax Period Ending must be present and valid. Month must be in 03, 06, 09 or 12. Day must be 30 or 31, based on the month. Year must not be earlier than current year minus two, nor greater than current year plus one.
Acknowledgment Error Message: “ST WH REJ Tax Period Ending missing or invalid”

311 – Tax Year must be present and valid. Year must not be earlier than current year minus two, nor greater than current year plus one.
Acknowledgment Error Message: “ST WH REJ Tax Year missing or invalid”

315 – If your business has permanently stopped withholding, you must check the box and enter the date you stopped withholding.
Acknowledgment Error Message: “ST WH REJ Mark box and enter the date withholding stopped”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-941 Return With or Without Debit Authorization (cont.)

330 – Any Tag designated as required must be present and valid.

Acknowledgment Error Message: “ST WH REJ Required Tag missing or invalid”

340 – The amount of IDOR-approved credit claimed cannot exceed the amount reported on Line 2 after subtracting the amount of DCEO credits available and payments made.

Acknowledgment Error Message: “ST WH REJ The IDOR-approved credit on this return is invalid”

350 – ERROR: You cannot claim more DCEO credit than the amount reported on Line 2.

Acknowledgment Error Message: “ST WH REJ The DCEO credit claimed on this return is invalid”

500 – PIN/Signature is present in the return transaction, but does **not** match the PIN/Signature registered with the Department for the participant. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level.

Acknowledgment Error Message: “ST WH REJ PIN/Signature not matched with IDOR”

510 – PIN/Signature is present in the return transaction, but **no** PIN/Signature is registered with the Department for the participant. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level. **Note:** This Error Code may also indicate that the transmitter is not designated as a Reporting Agent in IDOR’s registration information.

Acknowledgment Error Message: “ST WH REJ PIN/Signature not registered with IDOR”

520 – PIN/Signature is **not** present in the return transaction. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level.

Acknowledgment Error Message: “ST WH REJ PIN/Signature missing”

705 – The Form designation must be present and valid.

Acknowledgment Error Message: “ST WH REJ Form designation missing or invalid”

715 – A file may contain only transactions derived from a single schema.

Acknowledgment Error Message: “ST WH REJ Transaction types intermixed in file”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-941 Return With or Without Debit Authorization (cont.)

Failure of the edits below (320 – 325) will cause an **advisory error** to appear in the acknowledgment, but will **not** cause the return to be rejected.

320 – Tags designated as Optional must be valid, if present. Do not retransmit solely to correct Optional data.

Acknowledgment Error Message: “ST WH 941 WARNING Optional Tag invalid”

325 – Please verify the amount of Withholding Tax claimed on Line 2 was correct. Unless you have an amount on Line 5 from a DCEO credit, you should not have an amount on this line unless you have made an error on your payments or your return. This amount is not available for use on future IL-941 returns unless you have received notification from IDOR verifying this amount. Please see the IL-941 instructions for additional information.

Acknowledgment Error Message: “ST WH CREDIT WARNING Overpayment not valid see instructions”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-941 Return With Debit Authorization

Failure of any of the edits listed below (600 – 630) will cause an **advisory error** to appear in the return acknowledgment but will **not** cause the **return** to be rejected. They apply to the ACH debit authorization (electronic payment) information included in the return transaction. In addition to causing an advisory error in the acknowledgment, any failure will **prevent** the **payment** from being processed by IDOR.

Caution: A duplicate of an already accepted return cannot be retransmitted solely to carry a corrected debit authorization. If the debit authorization portion of a return transaction is the only information that needs correction, a stand-alone debit authorization transaction should be transmitted.

600 – Debit authorization payment amount must be greater than zero and valid (e.g., cannot exceed element length or be non-numeric).

Acknowledgment Error Message: “ST WH PYT REJ Amount invalid or not greater than zero”

610 – Requested payment date for debit authorization must be valid, complete, and specific. The date cannot be beyond the current date plus six months.

Acknowledgment Error Message: “ST WH PYT REJ Settle date invalid or too far in future”

630 – Debit authorization information cannot be an exact duplicate of payment information already being warehoused by the Department. (Duplicate information received is assumed to be unintentional.)

Acknowledgment Error Message: “ST WH PYT REJ Pyt exact dup of pyt warehoused by IDOR”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-941 Return With Debit Authorization (cont.)

The edit listed below (640) will cause an **advisory error** to appear in the return acknowledgment, but will **not** cause the return to be rejected. It applies to the ACH debit authorization (electronic payment) information included in the return transaction, however, in this case the debit authorization **will be** processed.

640 – Requested payment date for debit authorization should not be earlier than the date of transmission.

Acknowledgment Error Message: “ST WH PYT WARNING Settlement date is stale”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-501 or IL-941 Stand-Alone Debit Authorization

Failure of any of the edits listed below (013 – 715) will cause the stand-alone debit authorization to be **rejected**. The transaction will **not** be processed by IDOR for payment. Errors should be corrected and the stand-alone debit authorization retransmitted as soon as possible.

The three-digit number (Error Code) shown next to the error description will appear as the reject reason in the acknowledgment generated by IDOR. Beneath each error description, an abbreviated version of the error description is provided. This abbreviated “error message” will be displayed with the error code in the acknowledgment, along with the Tag name of the field in error, and the erroneous data (when possible).

013 – All Tags must contain the type of data as defined in the related schema.
Acknowledgment Error Message: “ST WH REJ Invalid data type for Tag”

025 – Invalid date.
Acknowledgment Error Message: “ST WH REJ Invalid date”

300 – StateEin and StateEinExtension must be numeric and valid.
Acknowledgment Error Message: “ST WH REJ State ID missing or invalid”

301 – The Department does not have a Withholding account number on file with this sequence number. Please check your records and file with the correct State EIN and State EIN Extension.
Acknowledgment Error Message: “ST WH REJ The State EIN or sequence number is invalid”

310 – Tax Period Ending must be present and valid. Month must be in 03, 06, 09 or 12. Day must be 30 or 31, based on the month. Year must not be earlier than current year minus two, nor greater than current year plus one.
Acknowledgment Error Message: “ST WH REJ Tax Period Ending missing or invalid”

311 – Tax Year must be present and valid. Year must not be earlier than current year minus two, nor greater than current year plus one.
Acknowledgment Error Message: “ST WH REJ Tax Year missing or invalid”

330 – Any Tag designated as required must be present and valid.
Acknowledgment Error Message: “ST WH REJ Required Tag missing or invalid”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-501 or IL-941 Stand-Alone Debit Authorization (cont.)

500 – PIN/Signature is present in the transaction, but does **not** match the PIN/Signature registered with the Department for the participant. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level.

Acknowledgment Error Message: “ST WH REJ PIN/Signature not matched with IDOR”

510 – PIN/Signature is present in the transaction, but **no** PIN/Signature is registered with the Department for the participant. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level.

Note: This Error Code may also indicate that the transmitter is not designated as a Reporting Agent in IDOR’s registration information.

Acknowledgment Error Message: “ST WH REJ PIN/Signature not registered with IDOR”

520 – PIN/Signature is **not** present in the transaction. In the case of a participant registered as a Reporting Agent, this reject is at the Origin level.

Acknowledgment Error Message: “ST WH REJ PIN/Signature missing”

600 – Debit authorization payment amount must be greater than zero and valid (e.g., cannot exceed element length or be non-numeric).

Acknowledgment Error Message: “ST WH PYT REJ Amount invalid or not greater than zero”

610 – Requested payment date for debit authorization must be valid, complete, and specific. The date cannot be beyond the current date plus six months.

Acknowledgment Error Message: “ST WH PYT REJ Settle date invalid or too far in future”

630 – Debit authorization information cannot be an exact duplicate of payment information already being warehoused by the Department. (Duplicate information received is assumed to be unintentional.)

Acknowledgment Error Message: “ST WH PYT REJ Pyt exact dup of pyt warehoused by IDOR”

705 – The Form designation must be present and valid.

Acknowledgment Error Message: “ST WH REJ Form designation missing or invalid”

715 – A file may contain only transactions derived from a single schema.

Acknowledgment Error Message: “ST WH REJ Transaction types intermixed in file”

Part II — Illinois Department of Revenue — Withholding

Section 2 — Acknowledgment Two “Transmission Acknowledgment” Errors

IL-501 or IL-941 Stand-Alone Debit Authorization (cont.)

The edit listed below (640) will cause an **advisory error** to appear in the debit authorization acknowledgment, but will **not** cause the debit transaction to be rejected. In this case, the debit authorization **will be** processed for payment.

640 – Requested payment date for debit authorization should not be earlier than the date of transmission.

Acknowledgment Error Message: “ST WH PYT WARNING Settlement date is stale”

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 1 — Schema Examples

The following three examples illustrate Illinois implementation of schemas for:

- Form UI-3/40 without Debit Authorization;
- Form UI-3/40 with Debit Authorization; and
- Stand-alone Unemployment Insurance Debit Authorization.

Form UI-3/40 without Debit Authorization

```
<?xml version="1.0" encoding="UTF-8"?><ReturnData documentCount="2"
xmlns="http://www.irs.gov/efile" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.irs.gov/efile ..\State+Schemas\ReturnDataState.xsd">
<ContentLocation>C1T2BMC01</ContentLocation>
<ReturnHeaderState documentId="1DocumentId">
<TaxPeriodEndDate>2004-03-31</TaxPeriodEndDate>
<ReturnType>StateUI</ReturnType>
<TIN><TypeTIN>FEIN</TypeTIN><TINTypeValue>123456789</TINTypeValue></TIN>
<StateEIN><TypeStateEIN>UIAccountNo</TypeStateEIN><StateEINValue>4046146</StateEINValue></StateEIN>
<BusinessAddress>
<BusinessName>A COMPANY</BusinessName><AddressLine>726 EAST Central STREET</AddressLine><City>Geneva</
City><StateOrProvince>IL</StateOrProvince><ZipCode>601342365</ZipCode><PhoneNumber>6305551700</
PhoneNumber>
</BusinessAddress>
</ReturnHeaderState>
<StateReturn><StateUI documentId="2DocumentId">
<UITotalWages>7000.52</UITotalWages>
<ExcessWages>0.00</ExcessWages>
<UITaxableWages>7000.52</UITaxableWages>
<ContributionRate>0.040</ContributionRate>
<ContributionDue>280.02</ContributionDue>
<UIAmountPaid>0.00</UIAmountPaid>
<UICreditPreviousPeriod>0.00</UICreditPreviousPeriod>
<UITotalDue>280.02</UITotalDue>
<PayRoll>
<Employee>
<SSN>987654321</SSN><Employee><FirstName>Juan</FirstName><LastName>Gomez</LastName></Employee>
<TotalWages>4000.52</TotalWages>
</Employee>
<Employee>
<SSN>987654322</SSN><Employee><FirstName>Susan</FirstName><MiddleName/><LastName>Fox</LastName></
Employee>
<TotalWages>3000.00</TotalWages>
</Employee>
</PayRoll>
<Month1Employees>2</Month1Employees><Month2Employees>2</Month2Employees><Month3Employees>2</
Month3Employees>
</StateUI></StateReturn></ReturnData>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 1 — Schema Examples

Form UI-3/40 with Debit Authorization

```
<?xml version="1.0" encoding="UTF-8"?><ReturnData documentCount="2"
xmlns="http://www.irs.gov/efile" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.irs.gov/efile ..\State+Schemas\ReturnDataState.xsd">
<ContentLocation>C1T2BMC02</ContentLocation>
<ReturnHeaderState documentId="1DocumentId">
<TaxPeriodEndDate>2004-06-30</TaxPeriodEndDate>
<ReturnType>StateUI</ReturnType>
<TIN><TypeTIN>FEIN</TypeTIN><TINTypeValue>123456789</TINTypeValue></TIN>
<StateEIN><TypeStateEIN>UIAccountNo</TypeStateEIN><StateEINValue>4046146</StateEINValue></StateEIN>
<BusinessAddress>
<BusinessName>A COMPANY</BusinessName><AddressLine>72 EAST Central STREET</AddressLine><City>Geneva</
City><StateOrProvince>IL</StateOrProvince><ZipCode>601342365</ZipCode><PhoneNumber>6305551700</
PhoneNumber>
</BusinessAddress>
</ReturnHeaderState>
<StateReturn><StateUI documentId="2DocumentId">
<UITotalWages>500.00</UITotalWages>
<ExcessWages>0.00</ExcessWages>
<UITaxableWages>500.00</UITaxableWages>
<ContributionRate>0.054</ContributionRate>
<ContributionDue>27.00</ContributionDue>
<UIAmountPaid>77.40</UIAmountPaid>
<UIAmountDuePreviousPeriod>0.00</UIAmountDuePreviousPeriod>
<UICreditPreviousPeriod>0.00</UICreditPreviousPeriod>
<UIInterest>.40</UIInterest>
<UIPenalty>50.00</UIPenalty>
<UITotalDue>77.40</UITotalDue>
<PayRoll>
<Employee>
<SSN>987654321</SSN><Employee><FirstName>Rachel</FirstName><MiddleName>S</MiddleName>
<LastName>White</LastName></Employee>
<TotalWages>250.00</TotalWages>
</Employee>
<Employee>
<SSN>987654322</SSN><Employee><FirstName>Rommel</FirstName><MiddleName>T</MiddleName>
<LastName>Villa</LastName></Employee>
<TotalWages>250.00</TotalWages>
</Employee>
</PayRoll>
<Month1Employees>2</Month1Employees><Month2Employees>2</Month2Employees><Month3Employees>2</
Month3Employees>
<Payment>
<PaymentInstrument>
<PaymentMethodType>DBT</PaymentMethodType>
<ACHDebit>
<RoutingTransitNumber>551972239</RoutingTransitNumber>
<BankName>CENTRUE BANK</BankName>
<BankAccountNumber>0018758654006</BankAccountNumber>
<AccountType>1</AccountType>
<AccountHolderType>2</AccountHolderType>
<AccountHolderName>A company</AccountHolderName>
</ACHDebit>
</PaymentInstrument>
<PaymentAmount><DebitAmount>77.40</DebitAmount></PaymentAmount>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 1 — Schema Examples

Form UI-3/40 with Debit Authorization (cont.)

```
<RequestedSettlementDate>2004-07-31</RequestedSettlementDate>
<Contact>
<ContactName><FirstName>Francis</FirstName><MiddleName>X</MiddleName><LastName>Mallard</LastName></
ContactName>
<Phone>3125551212</Phone>
<EmailAddress>FMallard@bogus.net</EmailAddress>
</Contact>
<TaxPayment>
<TaxTypeCode>13000</TaxTypeCode>
</TaxPayment>
</Payment>
</StateUI></StateReturn></ReturnData>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 1 — Schema Examples

Stand-alone Unemployment Insurance Debit Authorization

```
<?xml version="1.0" encoding="UTF-8"?><ReturnData documentCount="2"
xmlns="http://www.irs.gov/efile" xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
xsi:schemaLocation="http://www.irs.gov/efile ..\State+Schemas\ReturnDataState.xsd">
<ContentLocation>C1T2BMC03</ContentLocation>
<ReturnHeaderState documentId="1DocumentId">
<TaxPeriodEndDate>2004-06-30</TaxPeriodEndDate>
<ReturnType>StatePayment</ReturnType>
<TIN><TypeTIN>FEIN</TypeTIN><TINTypeValue>123456789</TINTypeValue></TIN>
<StateEIN><TypeStateEIN>UIAccountNo</TypeStateEIN><StateEINValue>4046146</StateEINValue></StateEIN>
<BusinessAddress>
<BusinessName>A COMPANY</BusinessName><AddressLine>70 EAST Central STREET</AddressLine><City>Geneva</
City><StateOrProvince>IL</StateOrProvince><ZipCode>601342365</ZipCode><PhoneNumber>6305551700</
PhoneNumber>
</BusinessAddress>
</ReturnHeaderState>
<StatePayment documentId="2DocumentId">
<PaymentInstrument>
<PaymentMethodType>DBT</PaymentMethodType>
<ACHDebit>
<RoutingTransitNumber>551972239</RoutingTransitNumber>
<BankName>CENTRUE BANK</BankName>
<BankAccountNumber>0123456789012345</BankAccountNumber>
<AccountType>1</AccountType>
<AccountHolderType>1</AccountHolderType>
<AccountHolderName>A company</AccountHolderName>
</ACHDebit>
</PaymentInstrument>
<PaymentAmount><DebitAmount>525.25</DebitAmount></PaymentAmount>
<RequestedSettlementDate>2004-07-31</RequestedSettlementDate>
<Contact>
<ContactName><FirstName>Our</FirstName><LastName>Customer</LastName></ContactName>
<Phone>4443331212</Phone>
<EmailAddress>AJohnson@test.com</EmailAddress>
</Contact>
<TaxPayment>
<TaxTypeCode>13000</TaxTypeCode>
</TaxPayment>
</StatePayment>
</ReturnData>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 2 — Acknowledgment Two — “Transmission Acknowledgment” General Information

IDES will return a detailed acknowledgment message for each transmission that is processed. The acknowledgment message will be formatted according to the standard schema. There are three levels to the acknowledgment message. Each level corresponds to the structure of the transmission: a transmission level, an origin header level, and an item level.

Each level has a status node to indicate acceptance or rejection. There is an extra status node at the item level to indicate whether or not an embedded payment has been accepted. IDES will also include confirmation numbers for all accepted items. The StateReturnIdentifier will be populated with a confirmation number only when the tax return is accepted. The StateDebitIdentifier will be populated with a confirmation number only when the payment is accepted. The acknowledgment may also contain detailed messages. The end of this section contains a list of all the messages. Please note that some messages are simply warnings. Other messages will explain why an item has been rejected.

Example

Please note that the following example is an acknowledgment of a test transmission (ProcessType is a “T”). Therefore, IDES returns artificial confirmation numbers that contain the word “test”.

```
<?xml version="1.0" encoding="utf-8" ?>
- <soap:Envelope xmlns:soap="http://schemas.xmlsoap.org/soap/envelope/" xmlns:xsi="http://www.w3.org/2001/
XMLSchema-instance" xmlns:xsd="http://www.w3.org/2001/XMLSchema">
- <soap:Body>
- <AcknowledgementAction xmlns="http://www.irs.gov/efile">
- <Acknowledgement>
<AcknowledgementId>IDES2004-8-18T13:16:25.8565669</AcknowledgementId>
<AcknowledgementTimestamp>2004-08-18T13:16:25.8565669-05:00</
AcknowledgementTimestamp>
- <TransmissionAcknowledgement>
<TransmissionId>ATCycle2BTrans2Received2004-05-04</TransmissionId>
<TransmissionTimestamp>2004-05-03T08:10:33.0000000-05:00</
TransmissionTimestamp>
<TransmissionStatus>A</TransmissionStatus>
<ETIN>67890</ETIN>
<ProcessType>T</ProcessType>
- <OriginAcknowledgement>
<OriginId>AccC1T1Orig01</OriginId>
<OriginTimestamp>2004-05-04T10:02:58.0000000-05:00</OriginTimestamp>
<OriginStatus>A</OriginStatus>
<EFIN>999999</EFIN>
- <ItemAcknowledgement>
<OrigTransContentLocation>C1T2BMC01</OrigTransContentLocation>
- <DateReceived>
<DateReceived>2004-07-27T13:59:20.0000000-05:00</
DateReceived>
</DateReceived>
- <TIN>
<TypeTIN>FEIN</TypeTIN>
<TINTypeValue>123456789</TINTypeValue>
</TIN>
- <StateEIN>
<TypeStateEIN>UIAccountNo</TypeStateEIN>
<StateEINValue>4046146</StateEINValue>
</StateEIN>
<ReturnType>StateUI</ReturnType>
<ItemStatus>A</ItemStatus>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 2 — Acknowledgment Two — “Transmission Acknowledgment”

Example (cont.)

```
<StateReturnIdentifier>FSETTEST</StateReturnIdentifier>
</ItemAcknowledgement>
- <ItemAcknowledgement>
<OrigTransContentLocation>C1T2BMC02</OrigTransContentLocation>
- <DateReceived>
<DateReceived>2004-07-27T13:59:20.0000000-05:00</
DateReceived>
</DateReceived>
- <TIN>
<TypeTIN>FEIN</TypeTIN>
<TINTypeValue>123456789</TINTypeValue>
</TIN>
- <StateEIN>
<TypeStateEIN>UIAccountNo</TypeStateEIN>
<StateEINValue>4046146</StateEINValue>
</StateEIN>
<ReturnType>StateUI</ReturnType>
<ItemStatus>A</ItemStatus>
<PaymentIndicator>Payment Request Received</PaymentIndicator>
<StateReturnIdentifier>FSETTEST</StateReturnIdentifier>
<StateDebitIdentifier>FSETTTest</StateDebitIdentifier>
</ItemAcknowledgement>
- <ItemAcknowledgement>
<OrigTransContentLocation>C1T2BMC03</OrigTransContentLocation>
- <DateReceived>
<DateReceived>2004-07-27T13:59:20.0000000-05:00</
DateReceived>
</DateReceived>
- <TIN>
<TypeTIN>FEIN</TypeTIN>
<TINTypeValue>123456789</TINTypeValue>
</TIN>
- <StateEIN>
<TypeStateEIN>UIAccountNo</TypeStateEIN>
<StateEINValue>4046146</StateEINValue>
</StateEIN>
<ReturnType>StatePayment</ReturnType>
<ItemStatus>A</ItemStatus>
<PaymentIndicator>Payment Request Received</PaymentIndicator>
<StateDebitIdentifier>FSETTTest</StateDebitIdentifier>
</ItemAcknowledgement>
</OriginAcknowledgement>
</TransmissionAcknowledgement>
</Acknowledgement>
</AcknowledgementAction>
</soap:Body>
</soap:Envelope>
```

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 2 — Acknowledgment Two — “Transmission Acknowledgment”

Acknowledgment Two Errors

Each message consists of a unique code and text. The following charts contain the complete text of the messages you may receive. The messages are listed in three categories: a general message category used primarily when there is a problem in the transmission control information; a UI-3/40 message category; and a UI Payment category. Message codes that begin with a “W” are warning messages and advisory messages. Message codes that begin with an “R” explain why the associated item has been rejected.

CODE MESSAGE

GENERAL CATEGORY

- RG001 UI Transmission Rejected** – Transmission ID missing.
- RG002 UI Transmission Rejected** – Transmission ID invalid.
- RG003 UI Transmission Rejected** – Transmission Header Manifest (originHeaderCount) does not correlate with the actual number of Origin Headers.
- RG004 UI Transmission Rejected** – ETIN information missing.
- RG005 UI Transmission Rejected** – ETIN information invalid.
- RG006 UI Transmission Rejected** – ProcessType information missing.
- RG007 UI Transmission Rejected** – ProcessType information invalid.
- RG008 UI Transmission Rejected** – Received at gateway information missing.
- RG009 UI Origin Group Rejected** – Origin ID information missing.
- RG010 UI Origin Group Rejected** – Origin ID information invalid.
- RG011 UI Origin Group Rejected** – Disparity between Origin Manifest itemCount and actual items present.
- RG012 UI Origin Group Rejected** – EFIN information missing.
- RG013 UI Origin Group Rejected** – EFIN information invalid.
- RG014 UI Origin Group Rejected** – PIN information missing.
- RG015 UI Origin Group Rejected** – PIN information invalid.
- RG016 Item Rejected** – Unable to de-serialize the attachment.
- RG017 Item Rejected** – Unable to de-serialize or process the attachment.
- RG018 Item Rejected** – De-serialization problem.
- RG019 Item Rejected** – Return type <value> is not processed by IDES.
- RG020 Item Rejected** – IDES only accepts one return or one payment per attachment.
- RG021 Item Rejected** – Duplicate Content Location.

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 2 — Acknowledgment Two — “Transmission Acknowledgment”

Acknowledgment Two Errors (cont.)

UI-3/40 CATEGORY

- WT001 State UI Warning** – UI Account Number is not found in our records. You will be contacted if your assistance is required.
- WT002 State UI Warning** – UI Total Wages is not equal to (UI TaxableWages + Excess Wages). You will be contacted if your assistance is required.
- WT003 State UI Warning** – Contributions Due is not equal to (Contribution Rate * UI Taxable Wages).
- WT004 State UI Warning** – Sum of Wages Paid to each worker is not equal to UITotalWages. A correction request will be sent to the address of record.
- WT005 State UI Warning** – Tax Period End Date cannot specify a future year. The UI-3/40 will be posted to the most recent quarter available for filing. You will be contacted if your assistance is required.
- WT006 State UI Warning** – Tax Period End Date was not standard value and the UI-3/40 will be posted to the most recent quarter that is available for filing. You will be contacted if your assistance is required.
- WT007 State UI Warning** – Contribution Rate is over the maximum allowable value. Field is replaced by .99.
- WT008 State UI Warning** – <amount> is over the maximum allowable value. Field is truncated to <value>.
- RT001 State UI Rejected** – UI Account Number is invalid. Your Illinois UI Account Number is printed on the Quarterly Contributions and Wage Report that was mailed to you. You must re-submit this item by the quarterly due date to avoid penalty and/or interest charges.
- RT002 State UI Rejected** – <value> required element is missing.
- RT003 State UI Rejected** – The UI Account Number in Illinois can be no more than 7 digits. Your Illinois UI Account Number is printed on the Quarterly Contributions and Wage Report that was mailed to you. You must re-submit this item by the quarterly due date to avoid penalty and/or interest charges.

Part III — Illinois Department of Employment Security — Unemployment Insurance

Section 2 — Acknowledgment Two — “Transmission Acknowledgment”

Acknowledgment Two Errors (cont.)

UI PAYMENT CATEGORY

- WP001 UI Payment Warning** – UI Account Number is not found in our records. You will be contacted if your assistance is required.
- WP002 UI Payment Warning** – Requested Settlement Date is earlier than the date the transmission was received. Requested Settlement Date is replaced by the Date Received.
- WP003 UI Payment Warning** – Tax Period End Date cannot specify a future year. The payment will be applied to the most recent quarter that is available for filing. You will be contacted if your assistance is required.
- WP004 UI Payment Warning** – Tax Period End Date was not standard value for UI payments and the payment will be applied to the most recent quarter that is available for filing. You will be contacted if your assistance is required.
- RP001 UI Payment Rejected** – UI Account Number is invalid. Your Illinois UI Account Number is printed on the Quarterly Contributions and Wage Report that was mailed to you. You must re-submit this item by the quarterly due date to avoid interest charges.
- RP002 UI Payment Rejected** – Requested settlement date more than six months in the future.
- RP003 UI Payment Rejected** – TaxTypeCode is not equal to 13000.
- RP004 UI Payment Rejected** – Routing Number Invalid.
- RP005 UI Payment Rejected** – PaymentMethodType must indicate an ACH Debit.
- RP006 UI Payment Rejected** – Debit Amount must be greater than zero.
- RP007 UI Payment Rejected** – <value> required element is missing.
- RP008 UI Payment Rejected** – The UI Account Number in Illinois can be no more than 7 digits. Your Illinois UI Account Number is printed on the Quarterly Contributions and Wage Report that was mailed to you. You must re-submit this item by the quarterly due date to avoid interest charges.
- RP009 UI Payment Rejected** – Debit Amount is over the maximum allowable value.